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Basic spectroscopy of molecules

Vibrational and rotational states

As an illustration, we consider the vibrational and rotational states of a diatomic molecule, composed of an atom of mass  $m_1$  and an atom of mass  $m_2$ 



The reduced mass

The quantum-mechanical theory of the harmonic oscillator requires that we insert the potential function

$$V(x) = \frac{1}{2} K (x - x_0)^2$$

there results an infinite set of energy levels given by:

$$E_{\nu} = h\nu_0(\nu + \frac{1}{2})$$
 ,  $\nu = 0, 1, 2, ...$ 

Here v is the vibrational quantum number and takes integer values.

The levels are non-degenerate; that is, there is only one state corresponding to each energy value  $E_v$ 

For the strongest vibrational interactions this Hamiltonian takes

 $-\vec{p}.\vec{E}(t)$ 

 $\vec{p}$  the electric dipole moment of the molecule  $\vec{E}$  the electric field in the neighbourhood of the molecule.

For such a 'dipole transition' to occur, the dipole moment must change between the initial and final state.

#### **Vibrational Spectra of Diatomic Molecules**

The quantum-mechanical selection rule for a diatomic molecule states that in a dipole transition from one vibrational state to another, v can only increase or decrease by unity ( $\Delta v = \pm 1$ ), corresponding to an energy change  $\Delta E = hv_0$ 



x=0 represents the equilibrium separation between the nuclei. We now consider the rotation of the same diatomic molecule, ignoring vibrations so that  $x = x_0$ 

$$I = m_r x_0^2 \qquad \qquad \hbar^2 J (J+1)$$

$$\hbar^2 = h/2\pi$$

J is an integer, the rotational quantum number

$$E_j = \frac{1}{2I}J(J+1)\hbar^2$$
,  $J = 0, 1, 2, ...$ 

$$E_{\nu} = h\nu_0(\nu + \frac{1}{2})$$
 ,  $\nu = 0, 1, 2, ...$ 

#### The treatment of molecules with more than two atoms is more complex



Carbon dioxide

Vibrational modes of CO<sub>2</sub>



 $v_1 = 1364 cm^{-1}$ 

 $v_2 = 675 cm^{-1}$ 







#### Water vapor (H<sub>2</sub>D)

Most important IR absorber Asymmetric top → Nonlinear, triatomic molecule has complex line structure, no simple pattern 3 vibrational fundamental modes



Higher order vibrational transitions (Δv >1) give weak absorption bands at shorter wavelengths in the shortwave bands
<sup>2</sup>H isotope (0.03% in atmosphere) and <sup>18</sup>O (0.2%) adds new (weak) lines to vibrational spectrum
3 rotational modes (J<sub>1</sub>, J<sub>2</sub>, J<sub>3</sub>)
Overtones and combinations of rotational and vibrational transitions lead to several more weak absorption bands in the NIR

#### **Molecular Spectra**



Internuclear separation

#### **Rotational Spectra**



Internuclear separation

**Molecular absorption processes** 

Electronic transitions UV and visible wavelengths

Molecular vibrations Thermal infrared wavelengths

Molecular rotations Microwave and far-IR wavelengths 10.00

~10<sup>-18</sup> J

Increasing energy

~10<sup>-23</sup> J

Each of these processes is quantized Translational kinetic energy of molecules is unquantized

#### Absorption spectra of molecules



a) allowed transitions

b) positions of the absorption lines in the spectrum of the molecule

Line positions are determined by the energy changes of allowed transitions Line strengths are determined by the fraction of molecules that are in a particular initial state required for a transition Multiple degenerate transitions with the same energy may combine

#### Transmittance spectrum for ozone $(0_3)$



http://www.spectralcalc.com/calc/spectralcalc.php

### Transmittance spectrum for CO<sub>2</sub>

http://www.spectralcalc.com/calc/spectralcalc.php



#### Transmittance spectrum for H<sub>2</sub>O

http://www.spectralcalc.com/calc/spectralcalc.php



## **Absorption line shapes**

 Doppler broadening: random translational motions of individual molecules in any gas leads to Doppler shift of absorption and emission wavelengths (important in upper atmosphere) Pressure broadening: collisions between molecules randomly disrupt natural transitions between energy states, so that absorption and emission occur at wavelengths that deviate from the natural line position (important in troposphere and lower stratosphere)

• Line broadening closes gaps between closely spaced absorption lines, so that the atmosphere becomes opaque over a continuous wavelength range.



## **Pressure broadening**



• Absorption coefficient of  $O_2$  in the microwave band near 60 GHz at two different pressures. Pressure broadening at 1000 mb obliterates the absorption line structure.

## Sulfur dioxide (SO<sub>2</sub>)



v<sub>1</sub>: 1151 cm<sup>-1</sup>, 8.6 μm

v<sub>3</sub>: 1361 cm<sup>-1</sup>, 7.3 μm





asymmetric stretching









## Sulfur dioxide (SO<sub>2</sub>)









bending



#### $v_1 + v_3$ : 2500 cm<sup>-1</sup>, 4 µm

#### Line shapes







$$f(v - v_n) = (\frac{\gamma_L}{\pi}) \frac{1}{(v - v_n)^2 + \gamma_L^2}$$

$$\gamma L = (2\pi\tau_c)^{-1}$$

 $v = v_0$   $v - v_0 = (u / c)v_0$ 

 $u \ll c$ 

$$P(u) = \left(\frac{m}{2\pi kT}\right)^{1/2} e^{-\frac{mu^2}{2k_BT}}$$

$$\int_{-\infty}^{\infty} P(u)\delta(v - v_0 - \frac{u}{c}v_0) du \propto e^{-\frac{mc^2(v - v_0)^2}{2k_B T v_0^2}}$$

$$k_{\nu} = \frac{S}{\gamma D \sqrt{\pi}} e^{-\frac{(\nu - \nu_0)}{\gamma_D^2}}$$

 $\gamma D(\ln 2)^{1/2}$ 

$$S = \int_{-\infty}^{\infty} k_{\nu} d\nu \qquad \gamma D = \frac{v_0}{c} \left(\frac{2k_B T}{m}\right)^{1/2}$$

**T**<sup>1/2</sup>



 $x = (v - v_n) / \alpha$ 

Transmittance

$$\tau_{\nu}(s_1, s_2) = \exp\left(-\left|\int_{-\infty}^{\infty} k_{\nu}(s)\rho_a(s)ds\right|\right) = \exp\left[-\left|\chi_{\nu}(s_2) - \chi_{\nu}(s_1)\right|\right]$$
  
$$\tau_{\nu}(s_1, s_2) = \exp\left[-k_{\nu}(p, T)\mu_{\nu}(s_1, s_2)\right]$$

$$v_{\nu}(s_1,s_2)$$
 on  $\mathbf{P}[v_{\nu}(\mathbf{P},\mathbf{r})v_a(s_1,s_2)]$ 

$$u_a(s_1, s_2) = \left| \int_{s_1}^{s_2} \rho_a(s) ds \right|$$

 $u_a = \rho_a l$ 



$$q = u_a S / (\gamma L \pi) \qquad q \gg 1 \qquad q \ll 1$$

 $A_{\nu}=1-\tau_{\nu}$ 

$$\overline{\tau}_r = \frac{1}{\Delta v_r} \int_{\Delta v_r} \tau_v dv$$

 $\overline{A}_r = 1 - \overline{\tau}_r$ 

$$W_r = \int_{\Delta v_r} (1 - \tau_v) dv = \Delta v_r (1 - \overline{\tau}_r) = \Delta v_r \overline{A}_r$$

$$\tau_r = e^{-k_v u_a} \approx 1 - k_v u_a$$

$$W_r \approx \int_{\Delta v_r} k_v u_a dv = S u_a$$



 $W \approx 2 (S u_a \gamma L)^{1/2}$ 

 $W \approx 2\gamma D \left\{ \ln \left[ Su_a / (\gamma D \sqrt{\pi} \right] \right\}^{1/2}$ 

#### Absorption by atmospheric gases

#### The solar spectrum



#### Radiation in the Atmosphere

Deviations from blackbody due to absorption by the solar atmosphere, absorption and scattering by the earth's atmosphere (below).



#### Infra-red absorption



#### Ultra-violet absorption







**Heating rates** 

**Basic ideas** 

 $AF_{z}(z)$ 



 $AF_z(z+\Delta z)$ 

 $A[F_z(z) - F_z(z + \Delta z)] \approx -(A\Delta z)dF_z / dz$ 

 $-dF_z/dz$ 

 $Q = -\frac{1}{\rho(z)} \frac{dF_z}{dz} \qquad Q/c_p \qquad F_z(=F^{\uparrow} - F_{\downarrow})$ 

#### Short-wave heating

 $\rho Q_{\nu}^{sw}$  $\rho_a z$ 

 $\chi_{\nu}(z) = \int_{z}^{\infty} k_{\nu}(z') \rho_{a}(z') dz'$ 

$$F_{\nu}^{\downarrow}(z) = F_{\nu\infty}^{\downarrow} e^{-\chi_{\nu}(z)}$$

 $F_{\nu\infty}^{\downarrow} \qquad e^{-\chi_{\nu}(z)} \quad \tau_{\nu}(z,\infty)$ 

 $F_{zv}(z) = -F_{v\infty}^{\downarrow} e^{-\chi_v(z)}$ 

$$\rho Q_{\nu}^{sw} = \frac{d}{dz} \left( F_{\nu \infty}^{\downarrow} e^{-\chi_{\nu}(z)} \right) = F_{\nu \infty}^{\downarrow} \left( -\frac{d\chi_{\nu}}{dz} \right) e^{-\chi_{\nu}(z)}$$

 $= F_{\nu\infty}^{\downarrow} k_{\nu}(z) \rho_a(z) e^{-\chi_{\nu}(z)}$ 

 $\rho_a(z) = \rho_a(0)e^{-z/H_a}$ 

 $\chi_{v}(z) = H_{a}k_{v}\rho_{a}(0)e^{-z/H_{a}} = \chi_{v}(0)e^{-z/H_{a}}$ 

$$F_{zv} = -F_{v\infty}^{\downarrow} e^{-\chi_v(0)e^{-z/H_o}}$$



 $\rho Q_{\nu}^{sw}(z) = F_{\nu\infty}^{\downarrow} k_{\nu} \rho_{a}(0) e^{-z/H_{a} - \chi_{\nu}(0)e^{-z/H_{a}}}$ 

Long-wave heating and cooling

$$F_{\nu}^{\uparrow}(z) = \pi \int_{0}^{z} B_{\nu}(z') \frac{\partial \tau_{\nu}^{*}(z',z)}{\partial z'} dz' + \pi B_{\nu}(0) \tau_{\nu}^{*}(0,z)$$

 $\tau_{\nu}^{*}(z',z) \qquad B_{\nu}(0) \qquad J_{\nu}=B_{\nu}$ 

$$F_{\nu}^{\downarrow}(z) = -\pi \int_{z}^{\infty} B_{\nu}(z') \frac{\partial \tau_{\nu}^{*}(z',z)}{\partial z'} dz'$$

$$F_{zv}(z) = F_v^{\uparrow}(z) - F_v^{\downarrow}(z)$$

 $Q_{\nu}^{lw}$  $k_{v}\rho_{a}J_{v}A\Delta z$ 

 $\tau_{v}(z,\infty) = \exp(-\int_{z}^{\infty}k_{v}\rho_{a}dz')$ 

 $\frac{\partial \tau_{v}(z,\infty)}{\partial z} = k_{v}(z)\rho_{a}(z)\tau_{v}(z,\infty)$ 

 $B_{\nu}(z) \frac{\partial \tau_{\nu}(z,\infty)}{\partial z} A\Delta x$ 

 $au_{_{V}}$   $au_{_{V}}^{*}$ 

 $Q_{\nu}^{cts}(z) = \frac{\pi B_{\nu}(z)}{\rho(z)} \frac{\partial \tau_{\nu}^{*}(z,\infty)}{\partial z}$ 

 $Q_{\nu}^{lw} \approx Q_{\nu}^{cts}$ 

 $Q^{sw} / c_p$ 

 $-Q^{lw}/c_p$ 

 $Q_{\nu}^{lw}(z)$ 

 $Q^{W}(z)$ 

#### Net radiative heating rates



 $Q = Q^{sw} + Q^{lw}$ Q = 0 $T_r(r)$ 

 $Q(T_r(r)) = 0$ 

 $\tau_{_{V}}^{*}$ 

# $Q(T_r + \delta T) \approx Q(T_r) + \delta T \frac{\partial Q}{\partial T}\Big|_{T=T_r} = \delta T \frac{\partial Q}{\partial T}\Big|_{T=T_r}$

 $= -c_p \frac{\delta T}{\tau_r}$ 

 $Q(T_r) = 0$ 

 $\tau_r = c_p (\partial Q / \partial T \Big|_{T=T_r})^{-1}$ 

The greenhouse effect revisited

#### Two-layer atmosphere in radiative equilibrium, including an optically thin stratosphere

 $T_{trop}$   $\tau_{sw}$   $\tau_{lw}$  $T_c \equiv (\frac{F_0}{\sigma})^{1/4} \approx 255K$ 



 $F_0 = F_{strot} + (1 + \varepsilon)(F_{trop} + \tau_{lw}F_g)$ 

 $F_{strat} = \sigma \varepsilon T_{strat}^4$ ,  $F_{trop} = \sigma (1 - \tau_{lw}) T_{trop}^4$ ,  $F_g = \sigma T_g^4$ 

 $F_0 + F_{strat} = F_{trop} + \tau_{lw} F_g$ 

$$2F_{strat} = \mathcal{E}(F_{trop} + \tau_{lw}F_g)$$

$$F_{trop} + \tau_{lw} F_g$$

$$F_0 + F_{strat} = (1 - \varepsilon)(F_0 + F_{strat})$$

$$\sigma \varepsilon T_{strat}^{4} = F_{strat} = \frac{\varepsilon F_{0}}{2 - \varepsilon}$$

$$\varepsilon \ll 1 \qquad \sigma T_{strat}^{4} \approx \frac{F_{0}}{2} \qquad T_{strat} \approx \frac{T_{c}}{2^{1/4}} = 214K$$

$$F_{trop} + \tau_{lw}F_{g}$$

$$F_{trop} = \frac{2F_0}{2-\varepsilon} - \tau_{lw}F_g$$

$$\tau_{sw}F_0 + F_{lw}F_{strat} + F_{trop} = F_g$$

Continuously stratified atmosphere in radiative equilibrium

$$-\frac{dF^{\uparrow}}{d\chi^*} + F^{\uparrow} = \pi B(T)$$

 $\pi B(T) = \sigma T^4$ 

 $\frac{dF^{\downarrow}}{d\chi^*} + F^{\downarrow} = \pi B(T)$ 

 $Q^{sw}=0 \qquad Q^{lw}=0$ 

## $F_z = F^{\uparrow} - F^{\downarrow} = \text{constant} \quad F^{\downarrow}(0) = 0 \qquad F_z = F^{\uparrow}(0)$

 $F_z = F^{\uparrow} - F^{\downarrow} = F_0$ 

$$-\frac{d}{d\chi^*}(F^{\uparrow} - F^{\downarrow}) + F^{\uparrow} - F^{\downarrow} = 2\pi B(T)$$

 $\pi B(T) = \frac{1}{2} (F^{\uparrow} + F^{\downarrow})$ 

 $\frac{d}{d\chi^*}(F^{\uparrow} + F^{\downarrow}) = F^{\uparrow} - F^{\downarrow} = F_0$ 

 $F^{\uparrow} + F^{\downarrow} = F_0 \chi^* + \text{constant}$ 

 $F^{\uparrow} + F^{\downarrow} = F_0(1 + \chi^*)$ 

$$F^{\uparrow} = \frac{1}{2} F_0 (2 + \chi^*)$$

$$F^{\downarrow} = \frac{1}{2} F_0 \chi^*$$

$$\pi B(T) = \sigma T^4 = \frac{1}{2} F_0 (1 + \chi^*)$$
$$F_0 (1 + \chi^*_g) / 2 \qquad \pi B(T_g) =$$

 $\sigma T_g^4$ 

 $\sigma T_g^4 = F_0(1 + \frac{1}{2}\chi_g^*) = \sigma T_c^4(1 + \frac{1}{2}\chi_g^*)$ 

 $T_c \approx 255K$ 

 $\chi_g^* > 0$ 

 $T_g > T_c$ 

 $\rho_c(z) = \rho_a(0)e^{-z/H_a}$  $\chi^*(z) = \chi_g^* e^{-z/H_a}$ 

$$F^{\uparrow}(z) = \frac{1}{2} F_0 (2 + \chi_g^* e^{-z/H_a}) \qquad F^{\downarrow}(z) = \frac{1}{2} F_0 \chi_g^* e^{-z/H_a}$$
$$T(z) = \left[\frac{F}{2\sigma_0} (1 + \chi_g^* e^{-z/H_a})\right]^{1/4}$$

 $\chi_g^* = 2$   $F_0 = 240 W/m^{-2}$  $z/H_a$ 

 $T_{strat} = 2^{-1/4} T_c$  $T \to \left(\frac{F}{2\sigma}\right)^{1/4} \text{ as } z \to \infty$ 

$$T(z) \rightarrow T_b \equiv T_c \left(\frac{1+\chi_g^*}{2}\right)^{1/4} \text{ as } z \downarrow 0$$



$$T_g \equiv T_c (\frac{2 + \chi_g^*}{2})^{1/4}$$

#### A simple model of scattering



#### Shortwave Radiation

 $S_o = 1368 \text{ w m}^{-2}$  is the solar constant for Earth

#### Insolation

$$R_0 = S_0 \left(\frac{d_m}{d}\right)^2 \cos \gamma$$
$$I_0 = \int_{t_1}^{t_2} R_0(t) dt$$



Stefan-Boltzmann Law

This law expresses the rate of radiation emission per unit area

 $R = \sigma T^4$   $\sigma = 5.67 \times 10^{-8} W / m^2 K^4$ 

Compare the difference between the radiation emission from the sun and the Earth.

The sun with an average temperature of 6000 K emits 73,483,200  $W/m^2$ 

By contrast, Earth with an average temperature of 300 K emits 459  $W/m^2$ 

The sun has a temperature 20 times higher than Earth and thus emits about 160,000 times more radiation This makes sense,  $20^4 = 160,000$